

Summary

Dr. Anand Srivastava is the Chairman, Co-Founder, and Chief Scientific Officer of GIOSTAR. He has been involved in numerous studies, publications, and other activities to advance the field of regenerative medicine, and aid in the development of therapeutic approaches to the world's most devastating diseases.

The following document summarizes Dr Anand's major scientific achievements and publications.

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Dr. Anand's Full Biography is available at the GIOSTAR Chicago Website.

A. Dr. Srivastava's Major Scientific Achievements

- a. Dr. Srivastava isolated Bio-Active Molecules (BAM's), that leverage the antiinflammatory benefits of molecular components of stem cells. GIOSTAR conducted a study illustrating the therapeutic application of BAM's to psoriasis and other skin disorders. The Institute also applied the technology to develop the protocols for more than ten skin and hair care products.
- b. Dr. Srivastava developed the animal material free and serum free Human Embryonic Stem Cell (hESC) culture condition to use the hESC's to treat human diseases.
- c. Dr. Srivastava showed that if the ES cell is injected into developing fetuses *in utero*, it participates in the development of all body of a living organism.
- d. Dr. Srivastava showed that ES cell is better accepted by the transplanted animals in comparison to adult stem cells.
- e. Dr. Srivastava showed the way to generate a high number of pre-erythrocytes using the glucocorticoid hormone; this method may be used to treat several blood diseases.
- f. Using ES cells, Dr. Srivastava generated a high number of CD34+ expressing a kind of hematopoietic stem cell, which can be used to treat several autoimmune diseases, immune reconstitution and blood diseases.



- g. Dr. Srivastava showed the molecular mechanism behind the regulation of ES cell differentiation into hematopoietic cells.
- h. Dr. Srivastava showed that ES cells automatically recognize the damaged portion of the brain and can be used to repair the damaged brain.
- i. Dr. Srivastava showed that ES cells can be used to treat Crohn's disease.

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- j. Dr. Srivastava demonstrated that mammalian fetuses can be programmed inside the mother's uterus to face the challenges of future possible infection. This finding is very important in developing advanced therapy for any fatal disease, such as cancer and AIDS. Utilizing these techniques, fetuses can be given information about all possible infections and the capability to counter those infections and disease.
- k. Dr. Srivastava demonstrated that it is easy to correct genetic diseases in developing fetus *in utero*, in comparison to adult animals.
- I. Dr. Srivastava has shown that lung cancer cells can be treated with the help of plant product curcumin, and can be used as an effective cancer therapeutic agent. He also demonstrated how curcumin regulate the genes related to programmed death of the cancerous cell. This would aid in the development of non-toxic, less expensive, easily available drugs for cancer.
- m. The biggest problem in the treatment of cancer and other diseases is the non-specific distribution of medicine and toxic chemotherapeutic agents to healthy tissues. Dr. Srivastava developed a technique that can help in targeting the diseased tissues using the tissue receptor binding peptide ligands. These techniques can be used for targeted delivery of drugs and genes (in case of genetic disease) to the specific fetal tissues inside the mother uterus without harming the normal tissues of mother and fetus.
- n. Dr. Srivastava demonstrated the insertion of foreign pancreas enzyme specific gene promoter into the developing animal embryo, and successfully showed the incorporation and regulation of pancreatic enzyme in the control of inserted gene. This is a very important finding, and proves that the defective genes can be replaced easily and effectively by normal functional genes during the development of animals. This finding will aid in the change of defective genes of insulin hormone, which is present in the pancreas of diabetic patients and many other genetic diseases.
- o. Dr. Srivastava reported the gene sequence of all important pancreatic enzymes (three isoform of trypsinogen, two isoforms of chymotrypsinogen, four types of elastases, three forms of carboxypeptidases and lipase) and its evolutionary relationship with the human body. Also, he reported the regulation of digestion by these enzymes in the alimentary canal, during the digestion of proteins in the developing animals.

p. Dr. Srivastava cloned and sequenced two types of human homologues of Vitamin D receptor gene from Japanese flounder - the most important receptor, which helps in bone development. Before his report, characters of this gene were not known in Japanese flounder. This finding helped in the understanding of the genetic evolution of mammals.

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- q. Dr. Srivastava cloned and sequenced the homologue of human placental protein, PP11, and mouse T cell specific, Tcl-30, in the pancreas of the Japanese flounder. This study suggests that these genes evolved from the fish pancreas, and in fish they help to synthesize digestive enzymes; during the evolution, however, their function got changed and led them to work differently in the mammalian placenta. This was a very important finding related to this rare gene.
- r. Dr. Srivastava showed that the Hox and sonic hedgehog genes regulate the development of bones and respiratory organs. He also demonstrated how these genes might be regulated artificially. This was a very important finding, as it provides insights into how genes regulate the development of organs.
- s. Dr. Srivastava purified and characterized the human homolog of AAT and ASPT enzymes, which is the basic clinical marker in all infections, as well as the major marker of liver function tests.
- t. Dr. Srivastava demonstrated the co-ordination of AAT and ASPT enzymes in the production of energy through the amino acids after aerobic respiration.
- u. Dr. Srivastava showed that, according to metabolic demand of the body, AAT and ASPT genes synthesized additional forms of its isoform to cope up with the extra energy demand and function as an "on" and off" switch.

B. Publications from GIOSTAR

The following publications demonstrate Dr Anand Srivastava's advances in field of stem cell therapies. These studies were conducted in conjunction with some of the leading research institutions in the world.

- Diana Esquivel, Rangnath Mishra, Prabhat Soni, Rajasekar Seetharaman, Anjum Mahmood & Anand Srivastava. Stem Cells Therapy as a Possible Therapeutic Option in Treating COVID-19 Patients. Stem Cell Reviews and Reports 17:144–152; 2021 <u>https://link.springer.com/article/10.1007/s12015-020-10017-6</u>
- b. Diana Esquivel, Rangnath Mishra, Anand Srivastava. Stem Cell Therapy Offers a Possible Safe and Promising Alternative Approach for Treating Vitiligo: A Review. Current Pharmaceutical Design 37: 4815 – 4821; 2020 <u>https://www.eurekaselect.com/184425/article</u>



- c. Anjum Mahmood, Rajasekar Seetharaman, Prashant Kshatriya, Divyang Patel, Anand S. Srivastava. Stem Cell Transplant for Advanced Stage Liver Disorders: Current Scenario and Future Prospects. Current Medicinal Chemistry. 37: 6276 - 6293; 2020 <u>https://www.eurekaselect.com/175367/article</u>
- d. Smitha Renganathan, **Anand Srivastava**, Radhakrishna Gopala Pillai. Dhanwantaram kashayam, an Ayurvedic polyherbal formulation, reduces oxidative radicals and reverts lipids profile towards normal in diabetic rats. Biochem Biophys Rep. 22:1-8. 2020. <u>https://www.ncbi.nlm.nih.gov/pmc/articles/PMC7186759/</u>
- e. Rajasekar Seetharaman, Anjum Mahmood, Prashant Kshatriya, Divyang Patel, Anand Srivastava. An Overview on Stem Cells in Tissue Regeneration. Current Pharmaceutical Design.18:2086 - 2098; 2019 <u>https://www.eurekaselect.com/173292/article</u>
- f. Rajasekar Seetharaman, Anand Srivastava. Mesenchymal Stem Cells Derived Paracrine Factors: An Alternative Approach in Regenerative Therapy. Remedy Publications LLC. Annals of Stem Cell Research & Therapy. 1:1-2; 2019. <u>https://www.researchgate.net/publication/336086236 Mesenchymal Stem Cells Derived ed Paracrine Factors An Alternative Approach in Regenerative Therapy</u>
- g. Rajasekar Seetharaman, Anjum Mahmood, Prashant Kshatriya, Divyang Patel, and Anand Srivastava. Mesenchymal Stem Cell Conditioned Media Ameliorate Psoriasis Vulgaris: A Case Study. Case Reports in Dermatological Medicine. 1:1-5; 2019. <u>https://www.hindawi.com/journals/cridm/2019/8309103/</u>
- h. Aditi Saraswat, Anand Srivastava. Diabetes: stem cells offering healthy promises. Journal of Stem Cell Research & Therapeutics. 2:45-46; 2018. <u>https://medcraveonline.com/JSRT/diabetes-stem-cells-offering-healthy-promises.html</u>
- A.S. Srivastava, B. Ismaeli-Azad, R. Denecochea-Campio, E. Carrier. Generation of Red Blood Cells from Human Embryonic Stem Cells (ES) with Increased Efficacy. Transplantation and Cellular Therapy. S236. #91; 2012 <u>https://www.tctjournal.org/article/S1083-8791(11)00657-4/</u>
- j. A.S. Srivastava, B. Ismaeli-Azad, R. Denecochea-Campio, E. Carrier. Generation of Red Blood Cells From Human Embryonic Stem Cells (ES) with Increased Efficacy. Blood. 21: 4334; 2011

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https://ashpublications.org/blood/article/118/21/4334/79333/Generation-of-Red-Blood-Cells-From-Human-Embryonic

k. Sudip Mandal, Anne G. Lindgren, Anand S. Srivastava, Amander T. Clark, Utpal Banerjee. Mitochondrial Function Controls Proliferation and Early Differentiation Potential of Embryonic Stem Cells. Stem Cells. 29:486–495; 2011. <u>https://stemcellsjournals.onlinelibrary.wiley.com/doi/full/10.1002/stem.590</u>

1. Publications from The Salk Institute for Biological Studies, La Jolla, California, USA

The following publications signify the use of stem cells in blood and neural diseases:

- Basak GW, Yasukawa S, Alfaro A, Halligan S, Srivastava AS, Minev B, Carrier E. Human embryonic stem cells hemangioblast express HLA-antigens. J Transl. Med., 7:27-36; 2009. <u>https://translational-medicine.biomedcentral.com/articles/10.1186/1479-5876-7-27</u>
- b. Grzegorz Wladyslaw Basak, **Srivastava AS**, Rakesh Malhotra, Ewa Carrier. Multiple Myeloma Bone Marrow Niche. Curren. Pharm. Biotech, 10:345-6, 2009. <u>https://www.ncbi.nlm.nih.gov/pubmed/19355944</u>
- c. **Srivastava AS**, Malhotra R, Jason Sharp and Berggren T. Potentials of ES Cell therapy in Neurodegenerative Diseases. Curren. Pharm. Design, 14:3873-9; 2008. <u>https://medcraveonline.com/JSRT/JSRT-03-00095</u>
- d. Mahmood A, Pandaya H, Rajasekar S, Patel D and Srivastava AS Cardiovascular Diseases: Recent Developments in Regenerative Medicine. J Stem Cell Res Ther (2017), 3(2): 00095. https://medcraveonline.com/JSRT/JSRT-02-00077.php
- Mahmood A, Srivastava A, Srivastava S, Pandaya H, Khokhani N, Patel D and Mishra R. Role of Cell Based Approaches in Cancer Immunotherapy. J Stem Cell Res Ther (2017), 2(5): 00077. https://medcraveonline.com/JSRT/JSRT-02-00057.php
- f. Mishra T, Sarswat A, Mishra K, Srivastava AS. Inflammatory Bowel diseases: Current Therapeutic approaches and potential of using stem cells. J Stem Cell Res Ther (2017), 2 (2) 00057. <u>https://medcraveonline.com/JSRT/JSRT-02-00057.php</u>



- g. Devang M. Patel, Jainy Shah, and Anand S. Srivastava Therapeutic potential of mesenchymal stem cells in regenerative medicine. Stem Cells Int. 2013. Volume 2013, Article ID 496218, 15 pages. https://www.hindawi.com/journals/sci/2013/496218/
- h. Dadheech N, Srivastava A, Belani M, Gupta S, Pal R, Bhonde RR, Srivastava AS, Gupta S. Basal expression of pluripotency-associated genes can contribute to stemness property and differentiation potential. Stem Cells Dev. 2013 Jun 15;22(12):1802-17.
 https://www.ncbi.nlm.nih.gov/pubmed/23343006
- i. **Srivastava AS**, Stem cells., Curr Top Med Chem.;11:1591, 2011. <u>https://benthamscience.com/journals/current-topics-in-medicinal-chemistry/volume/11/issue/13/</u>
- j. Dhawan P, Ahmad R, **Srivastava AS**, Singh AB., Cancer stem cells and colorectal cancer: an overview. Curr Top Med Chem. 11:1592-8, 2011. https://www.ncbi.nlm.nih.gov/pubmed/21446911

<u>2. Publications from Sanford Burnham Prebys Medical Discovery Institute, La Jolla,</u> <u>California, USA</u>

The following original research article signifies the patients with congenital disorder of glycosylation (CDG), type lb (MPI-CDG or CDG-lb) have mutations in phosphomannose isomerase (MPI) that impair glycosylation and lead to stunted growth, liver dysfunction, coagulopathy, hypoglycemia, and intestinal abnormalities.

This article demonstrates that disturbing mannose metabolic flux in mice, especially during embryonic development, induces a highly specific, unanticipated pathological state. It is unknown whether mannose is harmful to human fetuses during gestation; however, mothers who are at risk for having MPI-CDG children and who consume mannose during pregnancy hoping to benefit an affected fetus in utero should be cautious.

 a. Sharma V, Nayak J, DeRossi C, Charbono A, Ichikawa M, Ng BG, Grajales-Esquivel E, Srivastava A, Wang L, He P, Scott DA, Russell J, Contreras E, Guess CM, Krajewski S, Del Rio-Tsonis K, Freeze HH. <u>Mannose supplements induce embryonic lethality and</u> <u>blindness in phosphomannose isomerase hypomorphic mice.</u> FASEB J. 2014 Apr;28(4):1854-69. <u>http://europepmc.org/articles/PMC3963023/</u>

3. Publications from University of California Los Angeles (UCLA), California, USA

- Embryonic stem cells form the cancerous cells if transplanted. We demonstrate that if mitochondria is well developed and using predominantly oxygen for energy production, formation of tumor by ES cells may be controlled. This paper gives an idea to use ES cells safely for stem cell therapy. https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4374603/
- b. Sudip Mandal, Anne Lindgren, Anand Srivastava and Utpal Banerjee. Role of mitochondria in self-renewal, early differentiation and tumorigenicity of pluripotent stem cell. Stem Cells, 29:486-95, 2011. https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4374603/

4. Publication from University of California Irvine, California, USA

 Anand S. Srivastava, Rangnath Mishra, Sharmeela Kausal, Dharam P. Chauhan and Ewa Carrier; Prospects of Embryonic Stem Cells in treatment of Hematopoietic Disorders. Curren. Pharm. Biotech. 8: 51-56, 2007. (Deals with the approaches to treat blood related diseases). https://www.ncbi.nlm.nih.gov/pubmed/17979728

5. Publications from Moores Cancer Center at UC San Diego Health, La Jolla, California

We have published several reports that signify the possibilities of using stem cells in blood related diseases, neural related diseases and gene therapy. These original and review articles provided scientists with the initial ideas that gene therapy and stem cells approaches may help in development of clinical treatments for several blood, brain and autoimmune diseases.

a. **Anand S. Srivastava**, Elena Nedelcu, Babak Esmaeli-Azad, Rangnath Mishra and Ewa Carrier; Thrombopoietin Enhances Generation of CD 34+ Cells from Human Embryonic Stem Cells. Stem Cells, 25:1456-61, 2007. (**Showed that embryonic stem cells can be used to produce blood stem cells**) <u>https://www.ncbi.nlm.nih.gov/pubmed/17379761</u>

b. **Anand S. Srivastava**, Dharam Chauhan, Zong Ling Feng, Hyun S Kim and Ewa Carrier; Transplantation of embryonic stem cell in CDIL10-/- KO mouse, an animal model of colitis, antagonizes the manifestation of Crohn's Disease. BBRC 361:953-959, 2007. (**Report uses stem cells in treatment of Crohn's disease, which is a kind of autoimmune diseases**)

c. **Anand S. Srivastava**, Steve Shenouda, Rangnath Mishra and Ewa Carrier; Transplanted Embryonic Stem cells Successfully Survive and Proliferate in Brain and Migrate to Damaged Regions of the Brain. Stem Cells, 24:1689-94, 2006. (**Report shows that stem cells may treat damaged brain**) <u>https://www.ncbi.nlm.nih.gov/pubmed/16574752</u>



d. **Anand S. Srivastava**, Sharmeela Kaushal, Rangnath Mishra, Thomas A. Lane and Ewa Carrier; Dexamethasone facilitates erythropoiesis in murine embryonic stem cell differentiating into hematopoietic cells in vitro. BBRC, 346:508-16, 2006. (**Report shows that dexamethasone may enhance the blood progenitor cells and give an idea to produce blood cells in lab**).

https://www.ncbi.nlm.nih.gov/pubmed/16764825

e. Marta R., Mara G., **Srivastava A.S**., Matthew, C. W., Kilian S., Carrier E., and Zanetti M.; Immunity over tolerance targeting fetal liver B cells. Vaccine, 23:4273-82, 2005. (**Report shows the programming of a developing fetus in mother uterus**).

f. Feng Z, **Srivastava AS**, Mishra R, Carrier E., A regulatory role of Wnt signaling pathway in the hematopoietic differentiation of murine embryonic stem cells. Biochem. Biophys. Res. Commun., 324:1333-9, 2004. (**Showed the significance of Wnt signaling pathway in formation of blood cells**). https://www.ncbi.nlm.nih.gov/pubmed/15504360

g. **Srivastava A.S.**, Chauhan, D.P. and Carrier E.; In utero detection of T7 phage in the fetal tissues after systemic administration to pregnant mice. Biotechniques, 37:81-83, 2004. (**Targeting the fetus through maternal circulation, which gives an idea for development of tissue target gene delivery to a fetus to treat genetic diseases**). https://www.ncbi.nlm.nih.gov/pubmed/15283204

h. Srivastava A.S., Kaido T., Carrier E.; Immunological factors that affect the in vivo fate of T7 phage in the mouse. J of Virol. Meth., 115:99-104, 2004. (Showed the way mammalian immune system acts on the T7 phage virus. This gives an idea to use viral vector effectively for gene delivery).

https://www.ncbi.nlm.nih.gov/pubmed/14656466

i. **Srivastava A.S**, G. Radhakrishna Pillai, Dharam P. Chauhan and Ewa Carrier; Induction of apoptosis in human lung cancer cells by dietary Curcumin. Cancer letters, 208:163-170, 2004. (**Significance of turmeric powder on the lung cancer gene expression. This shows that turmeric may be used to treat the lung cancer**). <u>https://www.ncbi.nlm.nih.gov/pubmed/15142674</u>

j. M. E. Moustafa, **A. S. Srivastava**, E. Nedelcu, S. Shenouda and E. Carrier; Chimerism and tolerance post in utero transplantation with ontogenically different sources of stem cells. Transplantation, 78:1274-1282, 2004. (**Shows the use of different sources of stem cells in formation of chimerism**). <u>https://www.researchgate.net/publication/246118325 Chimerism and tolerance post i</u> <u>n utero transplantation with ontogenically different sources of stem cells</u>



k. **A.S. Srivastava**, M. E. Moustafa, S. Shenouda, D. P. Chauhan and E. Carrier; In utero gene therapy: prospect and future. Curren. Pharm. Des., 10:3663-72, 2004. (**Signifies the possibility of gene therapy in genetic diseases**). https://www.ncbi.nlm.nih.gov/pubmed/15579062

I. Sefrioui H, Donahue J, Gilpin EA, **Srivastava AS**, Carrier E. <u>Tolerance and immunity</u> <u>following in utero transplantation of allogeneic fetal liver cells: the cytokine shift.</u> Cell Transplant. 2003;12(1):75-82. (**Report shows the tolerance and immunity after transplanting the fetal liver cells**).

https://www.ncbi.nlm.nih.gov/pubmed/12693667

m. Sefrioui H, Donahue J, **Srivastava AS**, Gilpin E, Lee TH, Carrier E. <u>Alloreactivity</u> <u>following in utero transplantation of cytokine-stimulated hematopoietic stem cells: the</u> <u>role of recipient CD4(-) cells.</u> Exp Hematol. 2002 Jun;30(6):617-24. (**Report shows the** <u>behavior of blood stem cells after transplant in a mammalian model</u>). <u>https://www.ncbi.nlm.nih.gov/pubmed/12063030</u>